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Atty Docket No. 021154-000810US

PTO FAX NO.: 1-571-273-8300

ATTENTION: Examiner Behzad Peikari

Group Art Unit 2189

OFFICIAL COMMUNICATION FOR THE ATTENTION OF EXAMINER BEHZAD PEIKARI

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following documents in re Application of ALBERT LEUNG et al., Application No. 10/650,360, filed August 27, 2003 for OPTIMIZING STORAGE CAPACITY UTILIZATION BASED UPON DATA STORAGE COSTS are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

- 1. SB/21 Transmittal Form (1 page)
- 2. Supplemental Information Disclosure Statement (2 pages)
- 3. PTO SB08A and SB08B (2 pages)

Number of pages being transmitted, including this page: 6

Dated: May 31, 2006

Christopher R. Fitting

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MAY 3 1 2006

		PTO/8B/21 (09-04)						
	Application Number	10/650,360						
TRANSMITTAL	Filing Date	August 27, 2003						
FORM	First Named Inventor	Leung, Albert						
	Art Unit	2189						
(to be used for all correspondence after initial fillin	Examiner Name	Behzad Pelkari						
Total Number of Pages in This Submission	6 Attorney Docket Number	021154-000810US						
	ENCLOSURES (Check all that	After Allowance Communication to TC						
Fee Transmittal Form Fee Attached	Drawing(s) Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences						
Amendment/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)						
After Final	Petition to Convert to a Provisional Application	Proprietary Information						
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Addr	Status Letter						
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):						
Express Abandonment Request	Request for Refund	PTO SB08A and SB08B, Facsimile transmittal						
Information Disclosure Statement	CD, Number of CD(8)							
l	Landscape Table on CD							
Certified Copy of Priority Document(s)	Remarks The Commissioner is a Account 20-1430.	uthorized to charge any additional fees to Deposit						
Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNAT	URE OF APPLICANT, ATTORN	EY, OR AGENT						
Firm Name Townsend and Townse	end and Crew LLP							
Signature S.B. Kotwa	l							
Printed name Sujit B. Kotwal								
Date May 31, 2006	Reg. No	43,336						
CERTIFICATE OF TRANSMISSION/MAILING								
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Signature	U FA							
Typed or printed name Christopher R.	Fiting	Date May 31, 2006						

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ALBERT LEUNG et al.

Application No.: 10/650,360

Filed: August 27, 2003

For: OPTIMIZING STORAGE

Examiner: Behzad Peikari

Art Unit: 2189

SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37** CFR §1.97 and §1.98

CAPACITY UTILIZATION BASED UPON DATA STORAGE COSTS

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A form are being called to the attention of the Examiner. Copies of the references are not enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference

ALBERT LEUNG et al. Application No.: 10/650,360

Page 2

PATENT

should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Sujit B. Kotwal Reg. No. 43,336

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Tel: 650-326-2400 Fax: 650-326-2422

SBK:crf 60784084 v1

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NO. 5675 P. 5

MAY 3 1 2006

PTO/SB/08A (07-05)

Su	Substitute for form 1449A/PTO		Complete If Known			
				Application Number 10/650,360		
11	NFORMATION DISC	CLO	SURE	Filing Date	August 27, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	Leung, Albert	
				Art Unit	2189	
	(Use as many sheets as no	008387V	j	Examiner Name	Behzad Peikari	
Sheet	4	of	2	Attorney Docket Number	021154-000810US	

			U.S. PATENT DO	CUMENTS	
Examinar Initials*	Cite No.1	Document Number Number Kind Code ^{2 (I known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pagea, Columna, Linea, Witere Relevant Passages or Relevant Figures Appear
	AA	US 6,990,667	01-24-2006	Ulrich et al.	
	AB	US 5,317,728	05-31-1994	Tevis et al.	
	AC	US 2003/0005457	01-02-2003	Faibish et al.	
**	ďΑ	US 2002/0174296	11-21-2002	Ulrich et al.	
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	FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Foreign Patent Document					Publication Date	Name of Patentee or Pages, Columns	Pages, Columns, Lines, Where Relevant Passages	
Initilais*	No.¹	Country Code ³	Number ^a	Kind Code ⁴ (# known)	MM-DD-YYYY			™		

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at www.usoto.gov or MPEP 901.04. Enter Office that issued the document, by the two-latter code (WiPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document, Kind of document by the appropriate symbols as Indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (07-05)

Substitute	for form 1449B/PTO				Complete If Known	
				Application Number	10/650,360	
INFO	RMATION DIS	CLOS	URE	Filing Date	August 27, 2003	
STAT	STATEMENT BY APPLICANT			First Named Inventor	Leung, Albert	
1				Art Unit	2189	
(Use as many sheets as necessary)				Examiner Name	Behzad Peikari	
Sheet	2	of	2	Attorney Docket Number	021154-000810US	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²	
			LOGAL 214.94	

Examiner	Date		
Signature	Considered	ĺ	
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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.